

12/18/01
11057 U.S. PTO

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| UTILITY PATENT APPLICATION TRANSMITTAL | Attorney Docket No. CBL-104/DIV | Total Pages |
| | First Named Inventor GLENN S. SOLOMON ET AL. | |
| | Title DUAL PROCESS SEMICONDUCTOR HETEROSTRUCTURES & METHODS | |

10/023910
12/18/01

| APPLICATION ELEMENTS | ACCOMPANYING APPLICATION PARTS |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form | 9. <input type="checkbox"/> Assignment cover sheet and document(s) |
| 2. <input type="checkbox"/> Applicant Claims Small Entity Status | 10. <input type="checkbox"/> Power of Attorney by Assignee [] with CFR 3.73(b) statement |
| 3. <input checked="" type="checkbox"/> Specification Total Pages: [21] | 11. <input type="checkbox"/> English Translation Document |
| 4. <input checked="" type="checkbox"/> Drawing(s) Total Sheets: [5] | 12. <input checked="" type="checkbox"/> IDS/PTO-1449 [] with copies of cited references |
| 5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages: [1] | 13. <input checked="" type="checkbox"/> Preliminary Amendment |
| a. <input type="checkbox"/> Newly executed (original or copy) | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) |
| b. <input checked="" type="checkbox"/> Copy from a prior application 1.63(d) (complete Box 17 and note Box 5 below) | 15. <input type="checkbox"/> Small Entity Statement [] Statement filed in prior application Status still proper and desired |
| i. <input type="checkbox"/> Signed statement deleting inventors named in the prior application, see CFR 1.63(d)(2) and 1.33(b) | 16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) |
| 6. <input checked="" type="checkbox"/> Incorporation by Reference (if 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference. | 17. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent |
| 7. <input type="checkbox"/> Microfiche Computer Program (Appendix) | 18. <input checked="" type="checkbox"/> Other: Copies of Assignment documents and Powers of Attorney by Assignees from parent application 09/293,620. |
| 8. <input type="checkbox"/> Nucleotide/Amino Acid Sequence Submission (all the following are necessary) | |
| a. <input type="checkbox"/> Computer Readable Copy | |
| b. <input type="checkbox"/> Paper Copy (identical to computer copy) | |
| c. <input type="checkbox"/> Statement verifying identity of above copies | |
| 19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: [] continuation [<input checked="" type="checkbox"/>] divisional [] CIP ...of prior application No.: [09/293,620] | |

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FEE TRANSMITTAL

| | |
|-----------------------|---|
| Application Number: | Not yet assigned |
| Filing Date: | Not yet assigned |
| First Named Inventor: | Glenn S. Solomon et al. |
| Title of Invention: | DUAL PROCESS SEMICONDUCTOR HETEROSTRUCTURES & METHODS |
| Group Art Unit: | Not assigned |
| Examiner: | Not assigned |
| Agent's Docket No.: | CBL-104/DIV |

Fee Calculation:

for ☒ Large Entity / ☐ Small Entity.

Basic Billing Fee:

[X] Utility Patent Application: \$740 / \$370 \$ 740
☐ Provisional Patent Application: \$150 / \$75 \$

Claims:

☐ Number of Total Claims Over 20: ☐ x \$18 / \$9 = \$
☐ No. of Independent Claims Over 3: ☐ x \$80 / \$40 = \$

Other Fees:

☐ Extension of time, 1 month \$110 / \$55 \$
☐ Extension of time, 2 months \$400 / \$200 \$
☐ Extension of time, 3 months \$920 / \$460 \$
☐ Extension of time, 4 months \$1440 / \$720 \$
☐ Missing Parts Surcharge (Regular Application) \$130 / \$65 \$
☐ Missing Parts Surcharge (Provisional Application) \$50 / \$25 \$
☐ Recordation of Assignment Document \$40 \$
☐ Issue Fee \$1280 / \$640 \$
☐ Printed Patent; Number of Copies: ☐ x \$3 = \$

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